

# Rings

① Factoring (Fermat's Last theorem)

$$x^n + y^n = z^n \quad (n \geq 3) \quad \text{no nontrivial solutions in } \mathbb{Q}.$$

② Modules (finite generated abelian group  
Jordan form)

$\mathbb{Z}$ ,  $\mathbb{Q}$ ,  $\mathbb{Z}/n\mathbb{Z}$ . addition and multiplication.

Defn (ring). A ring  $R$  is a set with two compositions (binary operations)  $+$ ,  $\times$ , such that:

① With  $+$ ,  $R$  is an abelian group, identity is denoted by  $0$ , inverse of  $x$  is  $-x$ .

②  $\times$  is commutative, associative and has identity  $1$ .

③ Distributive law  $a(b+c) = ab+ac$ .

Subring: subset closed under  $+$ ,  $\times$ ,  $-$ ,  
and contains  $1$ .

Note: non commutative ring  
 $\times$  is not commutative.

Example:  $M_{n \times n}(\mathbb{R})$  matrices.

We use "ring" to mean "commutative ring"  
 $\exists$  no Ring  $R = \{0\}$ .

Prop: If  $1=0$ , then  $R = \{0\}$ .

Prop:  $(0+0)a = 0a + 0a = 0a$

$$\text{so } 0 \cdot a = 0.$$

$$(1-b)a = -ba.$$

Let  $n = \underbrace{1+1+\dots+1}_n$  in  $R$ .

$$\text{then } n \cdot a = (1+1+\dots+1)a = \underbrace{a+\dots+a}_n$$

Unit      an element that has a multiplicative inverse.

$\mathbb{Z}$       units       $\{\pm 1\}$ .

$\mathbb{R}$       units       $\mathbb{R} - \{0\}$

Polynomial ring.

$R$  ring.

$$f(x) = a_n x^n + a_{n-1} x^{n-1} + \dots + a_0.$$

(formal polynomial)

$x^i$  monomial

$$g(x) = b_m x^m + b_{m-1} x^{m-1} + \dots + b_0$$

$$f = g \text{ iff } m = n, \quad a_i = b_i$$

$$\left( \prod_{i=0}^n R \right) \ni (a_0, \dots, a_n, a_{n+1}, \dots)$$

finitely many non-zero elements.

First non-zero element  $a_n$  is leading coefficient.  
monic polynomial has leading coefficient equal to 1

$$f + g = (a_0 + b_0) + (a_1 + b_1)x + \dots$$

$$f \cdot g = a_0 b_0 + (a_1 b_0 + a_0 b_1)x + (a_1 b_1 + a_2 b_0 + a_0 b_2)x^2 + \dots$$

Division with Remainder.

$$g(x) = f(x)q(x) + r(x). \quad \deg r < \deg f.$$

Prop (DWR) Division with remainder can be done if leading coefficient of  $f$  is a unit.

Non Example:  $g(x) = x^2 + 1$ ,  $f(x) = 2x + 1$  in  $\mathbb{Z}[x]$ .

(Fields)  $R \setminus \{0\}$  are all units,  $R \neq \{0\}$ .

Example:  $\mathbb{Q}$ ,  $\mathbb{R}$ ,  $\mathbb{C}$ ,  $\mathbb{Z}/p\mathbb{Z}$ .  $p$  prime.

$\mathbb{Z}/p\mathbb{Z}$  is a field because

(Fermat little theorem) (FLT)

$$a \neq 0, \quad a^{p-1} \equiv 1 \pmod{p}.$$

Proof for FLT relies on the following  
cancellation property

$$\text{If } a \neq 0, \quad ab = ac \Rightarrow b = c$$

(or equivalently, non existence of zero divisor)

Defn of zero divisor.

If  $ab = 0$ ,  $a \neq 0$ ,  $b \neq 0$ . Both  $a, b$  are  
zero divisor.

Zero divisor can not be units. If  $a$  has an  
inverse

$$ab = 0 \Rightarrow a^{-1} \cdot a \cdot b = 0 \Rightarrow b = 0.$$

If there is no zero divisor, then

$$\text{If } ab = ac, a \neq 0$$

$$\Rightarrow a(b-c) = 0 \Rightarrow b-c = 0 \Rightarrow b = c$$

$\mathbb{Z}/p\mathbb{Z}$  satisfies this property.

This means the map  $m_a: \mathbb{Z}/p\mathbb{Z} \rightarrow \mathbb{Z}/p\mathbb{Z}$

$$b \mapsto ab$$

is injective.

Since  $\mathbb{Z}/p\mathbb{Z}$  is finite set.

$m_a$  is also surjective.

So 1 has a preimage.

$$\text{So } \exists b \text{ s.t. } ab = 1.$$

Choose all the non zero elements.

$$b_1, \dots, b_{p-1}$$

$m(a): ab_1, \dots, ab_{p-1}$  are also all the non zero

$$b_1 b_2 \dots b_{p-1} = ab_1 \cdot ab_2 \dots ab_{p-1}$$

$$\Rightarrow (b_1 \dots b_{p-1}) = a^{p-1} (b_1 \dots b_{p-1})$$

$$\Rightarrow a^{p-1} = 1 \text{ in } \mathbb{Z}/p\mathbb{Z}$$

Homomorphism:  $\varphi: R \rightarrow R'$ .

$$\varphi(a+b) = \varphi(a) + \varphi(b)$$

$$\varphi(ab) = \varphi(a) \cdot \varphi(b)$$

$$\varphi(1) = 1.$$

Example:  $\varphi: \mathbb{Z} \rightarrow \mathbb{F}_p$

Prop: There is exactly one homomorphism  $\mathbb{Z} \rightarrow \mathbb{R}$ .

$$\varphi: R \rightarrow R'$$

$$\ker \varphi = \{ s \in R \mid \varphi(s) = 0 \}$$

Property of  $\ker(\varphi)$ :

(1) closed under addition

(2) If  $s \in \ker(\varphi)$ , then  $rs \in \ker(\varphi)$  for all  $r \in R$ .

Ex: evaluation map

$$\mathbb{R}[\bar{x}] \rightarrow \mathbb{R}$$

$$p(x) \mapsto p(a).$$

Prop (substitution principle)

$\psi: R \rightarrow R'$  ring homomorphism.

$\forall \alpha \in R'$ , there is a unique homomorphism

$\Phi: R[\bar{x}] \rightarrow R'$ , such that

$$\Phi(x) = \alpha.$$

More generally.  $\forall \alpha_1, \alpha_2, \dots, \alpha_n$ .

$\exists! \Phi: R[\bar{x}_1, \dots, \bar{x}_n] \rightarrow R'$ , such that

$$\Phi(x_i) = \alpha_i.$$

Ex:  $R \xrightarrow{\psi} R' \hookrightarrow R'[\bar{x}]$ . (change of coefficients)

$$x \mapsto x.$$

$$f(x) = \sum a_i x^i \mapsto \sum \psi(a_i) x^i.$$



Defn: (Ideal)  $I \subset R$ .

① closed under addition

② If  $s \in I, r \in R$ , then  $rs \in I$ .

If  $s_1, s_2, \dots, s_n \in I$ , then

$\sum r_i s_i \in I, \forall r_1, \dots, r_n \in R$ .

Defn: (Ideal generated by  $s_1, \dots, s_n$ )

$$I = \left\{ \sum r_i s_i \mid r_i \in R \right\} = (s_1, \dots, s_n)$$

principal ideal:  $(a) = Ra = \{ra \mid r \in R\}$ .

(0) zero

(1) unit ideal =  $R$ .

proper neither (1) or (0)

Prop:

(a) Field  $F$  has exactly two ideals (0) and (1)

(b) Any ring has only two ideals is a field.

Ideals in  $\mathbb{Z}$ ,

Any subgroup in  $\mathbb{Z}^+$  is an ideal.

$$n \cdot x = x + \dots + x.$$

(Classification of subgroups in  $\mathbb{Z}^+$ ,  $(n)$ ).

all ideals are principal. ( $I \subset \mathbb{Z}$ , Find  $x \in \mathbb{Z}$ ,  $x \neq 0$   
with minimal  $|x|$ )

Ideals in  $F[x]$ .  $F$  is a field.

any ideal in  $F[x]$  is principal.

Find  $0 \neq f(x) \in I$ , such that.

$f(x)$  has minimal deg

G.C.D (greatest common divisor)  $f, g \in F[x]$ .

$$(f, g) = (d(x))$$

a)  $(d) = (f, g)$ .

b)  $d$  divides  $f$ ,  $d$  divides  $g$ .

c) If  $e = e(x)$  divides  $f$  and  $g$   
then  $e(x)$  divides  $d(x)$

$$d) \quad \exists p, q, \text{ s.t. } d(x) = f \cdot p + g \cdot q$$

use Euclidean algorithm to find  $d(x)$ .

$$f(x) = x^2 - 2x - 3 = (x-3)(x+1)$$

$$g(x) = (x-3)(x^2 + x + 1)$$

$$= x^3 - 2x^2 - 2x - 3$$

$$g(x) = x(x^2 - 2x - 3) + (x-1)$$

$$\text{l.c.m.}(f, g) = \text{l.c.m.}(f, r) = 10, x-3 = (x-3)$$

Quotient ring  $R/I$ .

$$R/I = R^+ / I^+ = \{a + I \mid a \in R\}$$

Def'n and Thm: There is a unique ring structure on  $R/I$ , s.t.  $R \rightarrow R/I$  is a ring homomorphism.

Defn:  $(a + I)(b + I) = ab + I$ .

check well-defined.

$$\begin{array}{l} a + I = a' + I \\ b + I = b' + I \end{array} \quad \text{then} \quad \begin{array}{l} a = a' + u \\ b = b' + v \end{array} \quad u, v \in I.$$

$$ab = a'b' + \underbrace{ab' + va' + uv}_{\in I}.$$

First isomorphism Thm:

If  $f: R \rightarrow R'$  surjective ring homo

then  $R/I \xrightarrow{\cong} R'$ ,  $I = \ker f$ .

Mapping property. If  $f: R \rightarrow R'$  ring homo with  $\ker f = K$ ,  $\pi: R \rightarrow R/I$ .

a) If  $I \subset K$ , then  $\exists! \bar{R} = R/I \rightarrow R'$ .

(17).  $\bar{f} \pi = f$ .

$$\begin{array}{ccc} R & \xrightarrow{f} & R' \\ \cong \downarrow & & \cong \downarrow \\ R/I & \xrightarrow{\bar{f}} & R' \end{array}$$

b) If  $K = \emptyset$ ,  $\bar{f}$  is isomorphism and  $f$  surjective.

Then (Correspondance Thm)

$\psi: R \rightarrow R'$  is surjective.  $\checkmark$  ring homomorphism.

{ ideals in  $R$  containing  $K$  }

$\longleftrightarrow$  { ideals in  $R'$  }

• If  $I \supset K$ , then  $\psi(I)$  is an ideal in  $R'$ .

• If  $\bar{I}$  is an ideal in  $R'$ , then

$\varphi^{-1}(\bar{2})$  is an ideal in  $R$ .

step 1.  $\varphi(\bar{2})$  is an ideal in  $R'$ .

$\varphi^{-1}(\bar{2})$  is an ideal in  $R$

step 2:  $\varphi(\varphi^{-1}(\bar{2})) = \bar{2}$ .  $\varphi^{-1}(\varphi(\bar{2})) = \bar{2}$

Ex:  $\varphi: \mathbb{C}[\bar{x}, \bar{y}] \rightarrow \mathbb{C}[\bar{t}]$ .

$$x \mapsto t.$$

$$y \mapsto t^2.$$

$$\ker \varphi = (y - x^2).$$

why?

$$g(\bar{x}, \bar{y}) \mapsto 0.$$

$$g(t, t^2) = 0.$$

$$f = y - x^2 \in \mathbb{C}[\bar{x}][\bar{y}]$$

$$g = f \cdot q + r \quad \begin{array}{l} r \in \mathbb{C}[\bar{x}][\bar{y}] \\ \deg \text{ in } \bar{y} < 1 \end{array}$$

So  $v(x, y) = v(x)$  , and  $v(t, t^2) = v(t) = 0$

and  $v(t) = 0 \Rightarrow v = 0$ .

so  $g = f \cdot g$ .

Ideals containing  $(y - x^2)$

$\longleftrightarrow$  ideals in  $\mathbb{C}[t]$ .

$(f(t))$ .

$$\varphi^{-1}(f(t)) = (f(x), y - x^2)$$

Ex:  $\mathbb{C}[t] / (t^2 - 1) = \mathbb{R}'$

any ideal in  $\mathbb{R}'$  is  $(f)$ .  $f$  divides  $t^2 - 1$ .  $f$  monic.

If  $\deg f = 0$ .  $f = 1$ .

$\deg f = 1$ .  $f = t - \alpha$ .

$t - \alpha$  divides  $h(t)$  means

$$h(\alpha) = 0. \quad (\text{Use division with remainder})$$

$$\text{So } f = (t-1) \text{ or } (t+1).$$

$$\text{If } \deg f = 2, \quad f = t^2 - 1.$$

Useful facts:  $I = (a)$   
 $J = (b)$

$I \subset J$  iff  $b$  divides  $a$ .

Adjoining elements.

$$R / (a \cdot b) \cong R / (a) / (\bar{b})$$

$$\text{Ex. } (\mathbb{Z}[i] / (i-2))$$

$\mathbb{Z}[i]$  is the image of

$$\mathbb{Z}[x] \rightarrow \mathbb{C}$$

$$x \mapsto i$$

$$\mathbb{Z}[i] \cong \mathbb{Z}[x] / (x^2 - 1)$$



why :  $\varphi: \mathbb{Z}[x] \rightarrow \mathbb{C}$   
 $x \mapsto i$

$$\ker \varphi = (x^2 + 1)$$

$$\text{If } g(x) \in \ker \varphi$$

$$\text{then } g(i) = 0, \quad g(-i) = 0$$

$$\text{So } g(x) = (x^2 + 1) \cdot q(x) + r(x)$$

$$\deg r \leq 1, \text{ but } i \notin \mathbb{Z},$$

$$\text{so } r(x) = 0$$

$$\mathbb{Z}[x] / (x^2 + 1) \Big/ (x - 2) \cong \mathbb{Z}[x] / (x - 2)$$

$$\cong \mathbb{Z} / (5)$$

Here we use  $\mathbb{Z}[x] / (x - 2) \cong \mathbb{Z}$   
 $x \mapsto 2$

## Important facts

If  $u$  is a unit,  $a \in R$ .

$$(a) = (ua)$$

$\forall a, b, c \in R$ ,  $u, v$  units

$$(a, b) = (a, b+ac)$$

$$\text{or } (a, b) = (ua, v(b+ac))$$

This is based on the following.

If  $A_1, A_2, \dots, A_n$  are represented by  $a_1, \dots, a_m$

$$A_i = \sum C_{ij} a_j$$

then  $(A_1, \dots, A_n) \subset (a_1, \dots, a_m)$

Example

$$\mathbb{C}[\bar{x}] / (x^2 - 3, 2x + 4)$$

$$\mathbb{C}[\bar{x}] / (x^2 - 3, 2(x+2))$$

(change of variable

$$\mathbb{C}[\bar{t}] \rightarrow \mathbb{C}[\bar{x}]$$

$$t \mapsto x+2.$$

$$\mathbb{C}[\bar{t}] / ((t-2)^2 - 3, 2t)$$

$$= \mathbb{C}[\bar{t}] / (t^2 - 4t + 1, 2t)$$

$$= \mathbb{C}[\bar{t}] / (t^2 + 1, 2t)$$

$$= \mathbb{C}[\bar{t}] / (t^2 + 1)$$

$$\cong \mathbb{C}[\bar{t}] / (t^2 + 1)$$

$$\cong \mathbb{C}[\bar{t}] / (2)$$

$$(t^2 + 1)$$

$$= (\mathbb{C}/2\mathbb{C})[\bar{t}]$$

$$/ (t+1)^2$$

$$\cong \mathbb{C}/2\mathbb{C}[\bar{t}] / (t^2)$$

Characteristic of a ring:

Adjoining elements

Goal: solve equation  $f(x) = 0$  in  $R$ .

Ex:  $\mathbb{R}$ . no solution for  $f(x) = x^2 + 1 = 0$

New ring  $\mathbb{R}[x] / (x^2 + 1) = \bar{\mathbb{R}}$

Now  $\bar{x} \in \bar{\mathbb{R}}$  satisfies  
 $\bar{x}^2 + 1 = 0$ .

Ex:

solve the inverse equation

$$a \in \mathbb{R}, \quad ax - 1 = 0$$

so  $\mathbb{R}[x] / (ax - 1)$ .

$$\mathbb{R} = \mathbb{Z}$$

$$a = 3. \quad \mathbb{Z}[x] / (3x - 1) \cong \mathbb{Z}[\frac{1}{3}] \subset \mathbb{Q}$$

Bad ex:

$$\mathbb{R} = \mathbb{Z} / 6\mathbb{Z}$$

$$a = 3. \quad \mathbb{R}[x] / (3x - 1) = \text{zero ring.}$$

$R \rightarrow R[x]/(x-1)$  is a zero morphism.

Good case:  $f(x)$  is monic.

$$R[x]/f(x) \quad \text{or} \quad \left( R[\alpha] \right. \\ \left. f(\alpha) = 0 \right)$$

①  $R[\alpha]$  has basis

$$(1, \alpha, \alpha^2, \dots, \alpha^{n-1})$$

$$i.e. \quad \forall \beta \in R[\alpha]$$

$$\beta = g(\alpha) = \sum_{i=0}^{n-1} a_i \alpha^i \quad a_i \text{ are uniquely}$$

determined by  $\beta$ .

$$\text{If } \sum_{i=0}^{n-1} a_i \alpha^i = \sum_{i=0}^{n-1} b_i \alpha^i, \text{ then}$$

$$a_i = b_i.$$

② (an view  $R[\alpha]$  the same as the set of  $n$ -tuples in  $R$

$$(a_0, a_1, \dots, a_{n-1})$$

Addition is component wise addition.

③ Multiplication is defined as follows.

$$\beta_1 = g_1(\alpha), \quad \beta_2 = g_2(\alpha)$$

$$\beta_1 \cdot \beta_2 = g_1(\alpha) \cdot g_2(\alpha)$$

$$= f \cdot g + r$$

Pf: ① reduce to.  
uniqueness of 0

$$0 = \sum_{i=0}^n a_i \alpha^i = g(\alpha)$$

$$g(\alpha) = f(\alpha) \cdot h(\alpha) \Rightarrow g(\alpha) = 0$$

Example:  $\mathbb{R} \quad \mathbb{R}[x] / (x^2+1) \cong \mathbb{C}$

$$\mathbb{F}_2[x] / (x^2+x+1)$$

$$0, 1, x, 1+x$$

$$x(x+1) = 1 \Rightarrow x^{-1} = 1+x$$

field of order 4

Product ring

Pf:  $R \times R'$  has a ring structure.

$$(x, x') \cdot (y, y') = (xx', y \cdot y')$$

$$(x, x') + (y, y') = (x+y, x'+y')$$

$$(0, 0')$$

$$(1, 1')$$

(idempotent element)  $e \in R, e^2 = e$ .

Prop: a).  $e' = 1 - e$  is also idempotent.

b).  $eR$  is also a ring with identity  $e$ .

(Notice that  $eR$  is not a subring)

c).  $R \cong eR \times e'R$

Prf: a)  $(1 - e)^2 = 1 - 2e + e^2 = 1 - e$ .

b).  $\forall ea \in eR$ .

$$e \cdot (ea) = e^2 a = ea$$

c).  $R \longrightarrow eR \times e'R$ .

$a \longmapsto (ea, e'a)$

idea  $e + e' = 1$ .

$$(e + e')a = ea + e'a$$

bijection.  
ring homomorphism.



Example of product ring and idempotent elements

$$\text{Ex: } \mathbb{F}_2[x] / (x^2 + x) = R$$

$$0, 1, x, x+1.$$

$$x^2 = x, \quad (x+1)^2 = x^2 + 2x + 1 \\ = (x^2 + x) + x + 1 = x + 1$$

$$\therefore \mathbb{F}_2[x] / (x^2 + x) \cong \underbrace{\mathbb{F}_2[x]}_{R(x)} \times \mathbb{F}_2[x+1]$$

Ex:

$$\mathbb{Z}/6\mathbb{Z} \cong (\mathbb{Z}/2\mathbb{Z}) \times (\mathbb{Z}/3\mathbb{Z})$$

Non

$$\text{Ex. } \mathbb{Z}/8\mathbb{Z} \not\cong (\mathbb{Z}/2\mathbb{Z}) \times (\mathbb{Z}/4\mathbb{Z})$$

(Chinese Remainder theorem).  $2 \nmid 4$ .

Then,  $2\mathbb{Z} = 2 \cap 4\mathbb{Z}$ ,

$$\mathbb{R}/\langle 2 \rangle \cong (\mathbb{R}/\langle 2 \rangle) \times (\mathbb{R}/\langle 2 \rangle).$$

(Hint:  $\mathbb{R} \rightarrow (\mathbb{R}/\langle 2 \rangle) \times (\mathbb{R}/\langle 2 \rangle)$  is surjective.  
 $a \mapsto (a+2, a+2)$ )

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Maximal ideal.

$\mathfrak{I} \subsetneq \mathbb{R}$  is a maximal ideal.

iff Any ideal  $\mathfrak{J} \supset \mathfrak{I}$ ,  $\mathfrak{J} = \mathfrak{I}$  or  $\mathbb{R}$ .

Prop:  $\mathfrak{I} \subseteq \mathbb{R}$  is a maximal ideal iff  $\mathbb{R}/\mathfrak{I}$  is a field

Df: Use correspondence theorem and the fact that any ring  $F$  is a field iff  $F$  has only two ideals  $(0)$  and  $F$  itself.

Example:  $R = \mathbb{C}[x, y]$ . (Find maximal ideals in  $R$ )

Define  $\varphi: R \rightarrow \mathbb{C}$ .  $(a_1, a_2) \in \mathbb{C}^2$   
 $x \mapsto a_1$   
 $y \mapsto a_2$ . a ring hom

then  $\ker \varphi = (x - a_1, y - a_2)$  (Think why?)

Since  $\varphi$  is a surjective map.

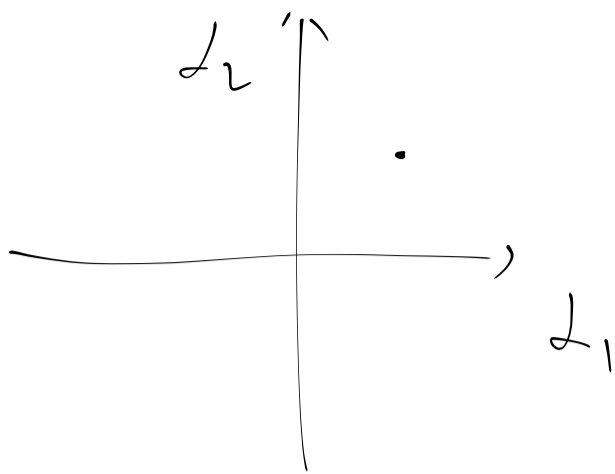
1st isomorphism Thm  $\Rightarrow R / \ker \varphi \cong \mathbb{C}$ .

So  $(x - a_1, y - a_2)$  is a maximal ideal.

The converse is also true, this is the famous Hilbert's Nullstellensatz.

Thm: All the maximal ideals in  $\mathbb{C}[x_1, \dots, x_n]$  are of the form  $(x_1 - a_1, x_2 - a_2, \dots, x_n - a_n)$  for some  $(a_1, a_2, \dots, a_n) \in \mathbb{C}^n$

Picture



maximal ideals in  
 $\mathbb{C}[x, y]$

Ex:  $\mathbb{C}[x, y] / (y - x^2) \cong \mathbb{C}$

{ maximal ideals in  $\mathbb{C}$  }  $\xleftrightarrow{1:1}$

{ maximal ideals in  $\mathbb{C}[x, y]$  containing  $(y - x^2)$  }

All the maximal ideals in  $\mathbb{C}$  are

in the form  $(x - z_1, y - z_2)$

such that  $z_2 = f(z_1)$

Pf: If  $(x - z_1, y - z_2) \supset (y - x^2)$

Then  $\varphi: \mathbb{C}[x, y] \rightarrow \mathbb{C}$

$$x \mapsto \alpha_1$$

$$y \mapsto \alpha_2$$

$$(y - x^2) \in \ker \varphi$$

$$\text{then } \varphi(y - x^2) = 0 \Rightarrow \alpha_2 - \alpha_1^2 = 0$$

The converse is also true.

Properties of maximal ideals in  $F[x]$ .

$(f(x))$ .  $f(x)$  is irreducible

Some definitions to clarify:

① Integral domain (domain) ring without zero divisors.

② Polynomial ring:  $R[x]$   
"constant" means  $R \subset R[x]$

③ Monic polynomial

$$f(x) = x^n + a_{n-1}x^{n-1} + \dots + a_0.$$

↑  
leading coefficient = 1.

④ Field  $F$

the set of units is  $F \setminus \{0\}$

Criterion for maximal ideals.

$I \subset R$  is an ideal in  $R$ .

$I$  is maximal ideal iff  $R/I$  is a field.

Example 1:  $R = \mathbb{Z}$ .

All the ideals in  $\mathbb{Z}$  are in the form of  $(n)$ .  $n \geq 0$ .  $n \in \mathbb{Z}$

① If  $n$  is a prime number.

then  $\mathbb{Z}/(n) = \mathbb{Z}/n\mathbb{Z}$  is a field  $\mathbb{F}_n$   
(We proved this before)

so  $(n)$  is an maximal ideal.

A more direct approach from definition.

If  $J \supset (n)$  is another ideal containing  $(n)$ . We write  $J = (m)$ .

Then  $(n) \subset (m)$ . so  $n = m \cdot k$ .

Since  $n$  is a prime number, according to fundamental theorem of arithmetic

$m = \pm n$  or  $m = \pm 1$ .

If  $m = \pm n$ , then  $(m) = (n)$

If  $m = \pm 1$ . then  $(m) = \mathbb{Z}$

Another fact we usually use:

$(x) = R$  iff  $x$  is a unit.

(1) If  $x$  is a unit,  $1 = x \cdot x^{-1} \in (x)$   
 $r = r \cdot 1 \in (x)$ .

(2) If  $(x) = R$ , then  $1 = x \cdot a$  for some  $a$ .

(2) If  $n$  is not a prime.

$$n = m_1 m_2, \quad m_i \neq \pm 1$$

$$\text{so } \overline{m_1} \in \mathbb{Z}/n\mathbb{Z} \neq \overline{0}$$

$$\overline{m_2} \in \mathbb{Z}/n\mathbb{Z} \neq \overline{0}$$

$$\overline{m_1} \cdot \overline{m_2} = \overline{n} = \overline{0}$$

so  $\mathbb{Z}/n\mathbb{Z}$  has zero divisors.

$\mathbb{Z}/n\mathbb{Z}$  is not an integral domain,  
hence not a field.



Example:  $R = F[x]$ ,  $F$  is a field.

What are the maximal ideals in  $R$ ?

All the ideals in  $R$  are in the form

$(f(x))$   $f(x)$  is a monic polynomial.

Def:  $f(x)$  is irreducible polynomial in

( $F$  is  
a field)

$F[x]$  iff

(1)  $f(x) \neq 0$   $f(x)$  is not a constant.

(2) If  $f(x) = g(x) \cdot h(x)$ ,  $g(x), h(x) \in F[x]$

then  $g(x)$ , or  $h(x)$  must be constant.

(aim:  $(f(x))$  is a maximal ideal iff

$f(x)$  is irreducible.

Pf. " $\Leftarrow$ " If  $f(x)$  is irreducible.

Assume  $J = (g(x)) \supset (f(x))$ .

then  $f(x) = g(x) \cdot h(x)$

(1) If  $g(x)$  is constant,

then  $g(x)$  is invertible.

$$(g(x)) = F(x)$$

(2) If  $h(x)$  is constant,

$$g(x) = (h(x))^{-1} \cdot f(x)$$

$$(g(x)) = (f(x))$$

" $\Rightarrow$ " If  $(f(x))$  is a maximal ideal

Assume  $f(x) = g(x) \cdot h(x)$

then  $(g(x)) \supset (f(x))$

(1)  $(g(x)) = F[x]$ , then

$$1 = g(x) \cdot m(x), \quad \deg g = 0.$$

$g(x)$  is a constant

(2)  $(g(x)) = (f(x))$ , then

$$g(x) = f(x) \cdot h(x).$$

$$\text{so } f(x) = f(x) \cdot h(x) \cdot h(x).$$

$$\deg h = \deg h = 0$$

$h(x)$  is a constant

$$\text{Ex: } \mathbb{F}_2[x] / (x^2 + x + 1)$$

$f(x) = x^2 + x + 1$  is irreducible.

because if  $f(x) = g(x)h(x)$

and  $\deg g \neq 0, \deg h \neq 0$ .

then  $\deg g = \deg h = 1$ .

$$g(x) = x \text{ or } x+1$$

If  $g(x) = x$ ,  $f(0) = g(0)h(0) = 0 \cdot h(0) = 0$   
but  $f(0) = 1$

If  $g(x) = x+1$ ,  $f(1) = g(1)h(1) = 0 \cdot h(1) = 0$

but  $f(1) = 1$

So  $f(x)$  is irreducible and

$\mathbb{F}_2[x] / (x^2 + x + 1)$  is a field.

Example (not visited).

$R = \mathbb{C}[x, y]$ . (construct maximal ideal).

$\varphi_{d_1, d_2} : \mathbb{C}[x, y] \rightarrow \mathbb{C}$   
 $f(x, y) \mapsto f(d_1, d_2)$

surjective.

$\ker \varphi_{d_1, d_2} = (x - d_1, y - d_2)$ .

(Why?)

$\ker \varphi_{d_1, d_2} \supset (x - d_1, y - d_2)$ . (use definition).

Look at the special case.  $\alpha_1 = \alpha_2 = 0$

$$f(x, y) = a_{00} + a_{10}x + a_{01}y + a_{11}xy \\ + a_{20}x^2 + a_{02}y^2 + \dots$$

$$\varphi_{0,0}(f(x, y)) = f(0, 0) = a_{00}$$

$$f \in \ker \varphi_{0,0} (\Leftrightarrow) f(0, 0) = 0 (\Leftrightarrow)$$

$$f \in (x, y)$$

---

For different  $(\alpha_1, \alpha_2)$ ,

$(x - \alpha_1, y - \alpha_2)$  is different.

i.e. If  $(\alpha_1, \alpha_2) \neq (\beta_1, \beta_2)$ .

then  $(x - \alpha_1, y - \alpha_2) \neq (x - \beta_1, y - \beta_2)$ .

Pf: assume  $\alpha_1 \neq \beta_1$ , and

$$(x - \alpha_1, y - \alpha_2) = (x - \beta_1, y - \beta_2) = \underline{I}.$$

then  $(x - \alpha_1) - (x - \beta_1) = \beta_1 - \alpha_1 \neq 0 \in I$ .

$\beta_1 - \alpha_1$  is a unit, so  $I = \mathbb{C}[x, y]$   
(contradiction!)

---

Hilbert's Nullstellensatz says

There is a one-to-one correspondence:

$$\begin{array}{ccc} \mathbb{C}^2 & \longleftrightarrow & \{ \text{maximal ideals in } \mathbb{C}[x, y] \} \\ (d_1, d_2) & \longmapsto & (x - d_1, y - d_2) \end{array}$$

(we proved "well-defined", "injective"  
Hilbert proved surjectivity)

---

Corollary: consider  $R = \mathbb{C}[x, y] / V$ .

$$V = (f_1, f_2, \dots, f_n)$$

then there is a bijection

$$\left\{ (d_1, d_2) \mid \begin{array}{l} f_1(d_1, d_2) = 0 \\ \vdots \\ f_n(d_1, d_2) = 0 \end{array} \right\} \xleftrightarrow{1:1} \left\{ \begin{array}{l} \text{maximal} \\ \text{ideals} \\ \text{in } R \end{array} \right\}$$

$$(d_1, d_2) \longmapsto (x-d_1, y-d_2)$$

Pf: Use correspondence theorem:

$$\left\{ \text{maximal ideals in } R \right\}$$

$$\xleftrightarrow{1:1} \left\{ \begin{array}{l} \text{maximal ideals in } \mathbb{C}[x, y] \\ \text{containing } V \end{array} \right\}$$

How to check containing  $V$ !

$$f_i(x, y) \in (x-d_1, y-d_2) \iff f_i \in \ker \varphi_{d_1, d_2}$$

$$\forall d_1, d_2 (f_i(x, y)) = 0 \iff f_i(d_1, d_2) = 0$$

So we have the correspondence above



# Factoring (Integral domain)

① How to factor integers?

$$12 = 2^2 \cdot 3$$

(prime numbers)  
(factorization is unique)

② Why useful?  $\sqrt{2}$  irrational

If  $\sqrt{2}$  is rational

$$\sqrt{2} = \frac{p}{q} \quad (p, q) = 1$$

$$2q^2 = p^2 \quad 2 \text{ is a prime}$$

$$\text{So } 2|p, \quad p = 2k$$

$$q^2 = 2k^2$$

$$\Rightarrow 2|q$$

*Contradiction*

③ factor elements in  $\mathbb{Z}[i]$

Why is a prime  $p$

has the form

$$p = x^2 + y^2, \quad x, y \in \mathbb{Z}$$

Answer:  $p \equiv 1 \pmod{4}$  Yes

$p \not\equiv 1 \pmod{4}$  No.

④ Fermat's last theorem.

(Kummer's approach)

Terminology:

$u$  is a unit  $(\Leftrightarrow) (u) = (1) = R$

$a$  divides  $b$   $(\Leftrightarrow) b = ac$  for some  $c$ .

$(\Leftrightarrow) (b) \subset (a)$

$a$  is a proper divisor of  $b$

$(\Leftrightarrow) b = ac$ , Neither  $a$  or

$(\Leftrightarrow) c$  is a unit.

$a, b$  associates  $(\Leftrightarrow) (b) \subsetneq (a) \subsetneq (1)$

$a$  irreducible if  $a$  is not a unit.  $a$  has no proper divisor.

$(\Leftrightarrow) (a) \subset (1)$ ,

No principal ideal  $(c)$

$$(a) \in (c) \notin (1).$$

$p$  is a prime element

if  $p$  divides  $ab$ , then  
 $p$  divides  $a$  or  $b$ .

$$\Leftrightarrow ab \in (p) \Rightarrow a \in (p) \text{ or } b \in (p)$$

$$\Leftrightarrow R/(p) \text{ is integral domain}$$

Defn: (PID) Principal ideal domain.  $R$

$R$ : every ideal in  $R$  is a principal ideal  $(a)$

Goal: Euclidean domain  $\Rightarrow$  PID  $\Rightarrow$  UFD  
(unique factorization Domain)

Defn:

Euclidean domain  $R$ .

$R$  is a domain with size function

$\sigma : R \setminus \{0\} \rightarrow \mathbb{Z}_{\geq 0}$  such that.

$\forall a, b \in R, b \neq 0$ .

$\exists q, r \in R$ , s.t.  $a = bq + r$ .

$r = 0$  or  $\sigma(r) < \sigma(b)$ .

Example:  $\mathbb{Z}$ ,  $\sigma = \text{absolute value}$ .

$F[x]$ .  $F$  field.

$\sigma = \text{deg of a polynomial}$

$\mathbb{Z}[i] = \{ a = m + ni \mid m, n \in \mathbb{Z} \}$

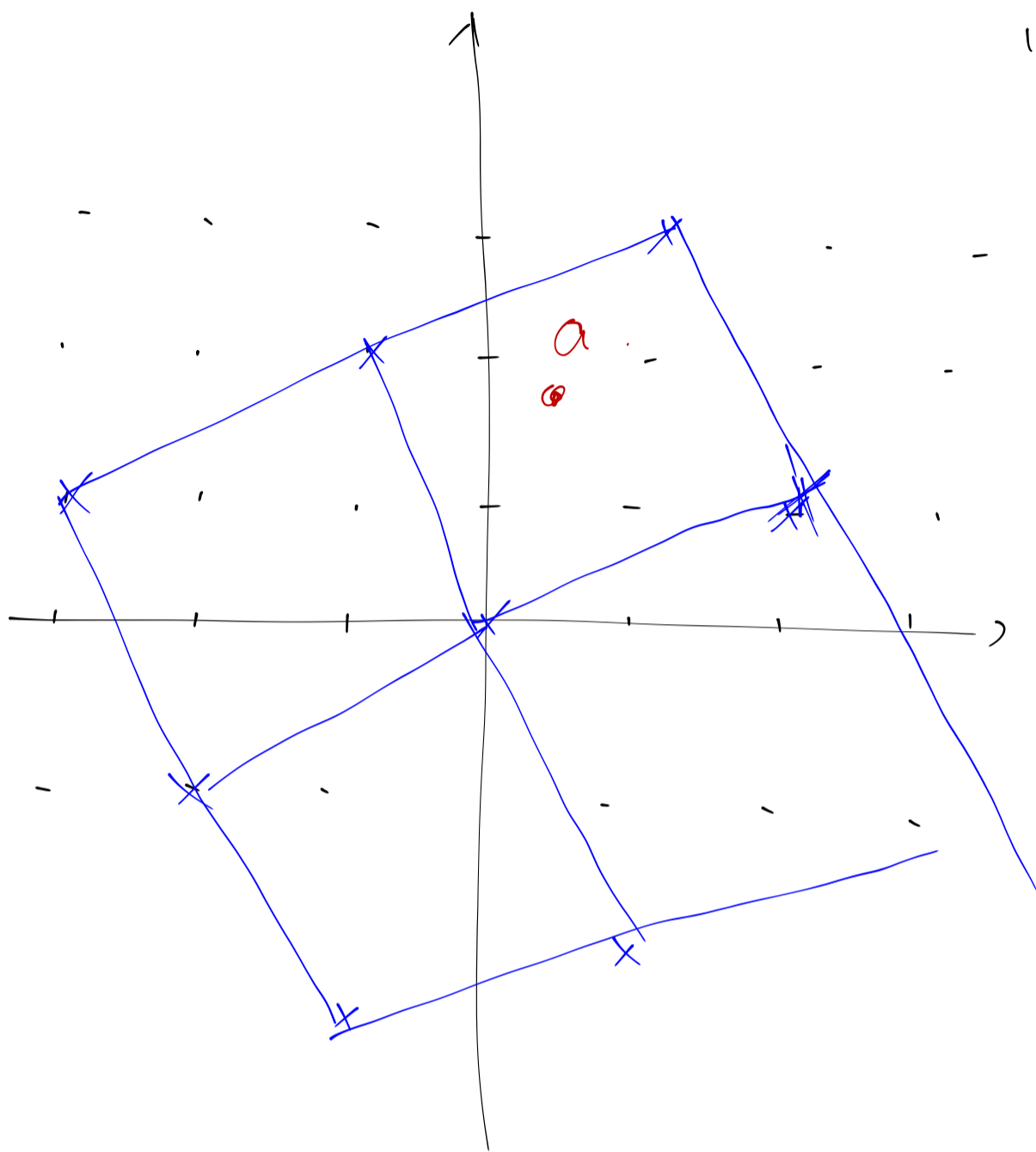
$\sigma(a) = |a|^2$ .

Let  $b \neq 0$ .

then  $(b)$  is the vertices of  
squares on  $\mathbb{C}$

$$b = 2 + i$$

The side of each square  
is  $|b|$



$a$  is lying in some of the squares.

So there exist one vertex of the square such that  $|a - bq|^2 < |b|^2$

So let  $r = a - bq$ .

$$a = bq + r, \quad \sigma(r) < \sigma(b)$$

Thm. An Euclidean ring  $R$  is PID.

Pf:  $I \subset R$  is an ideal.

then let  $\min \{ \sigma(x) \mid x \in I, x \neq 0 \} = n$ .

Assume  $\sigma(a) = n$ .

(Claim  $I = (a)$ .)

(1)  $(a) \subset I$  because  $a \in I$ .

(2) If  $I \not\subset (a)$ , then  $\exists b \in I$ ,  
 $b \notin (a)$ .

$$b = a \cdot q + r.$$

(I)  $r = 0$ ,  $b = aq \in (a)$

(II)  $r \neq 0$ ,  $\sigma(r) < \sigma(a)$ .

On the other hand  $r = b - aq \in I$ .

because  $b \in I$ ,  $a \in I$ .

(contradict with  $\sigma(a) = n$  is the minimal value for  $\sigma(x)$ ,  $x \in I \setminus \{0\}$ .)

Euclidean domain  $\Rightarrow$  Principal Ideal domain

$\Rightarrow$  Uniquely factorization domain

Defn (UFD).  $\forall a \in R$ . if  $a$  is not irreducible

$a = a_1 b_1$ . neither  $a_1$  nor  $b_1$   
is unit

$a_1 = c_1 d_1$ ,  $b_1 = c_2 d_2 \dots$

Factoring terminates if after finite steps, all  
the factors are irreducible.

$a = p_1 p_2 p_3 \dots p_m$ .  $p_i$  are irreducible.  
 $= q_1 q_2 \dots q_n$   $q_m$  are irreducible.

The irreducible factorization is unique,

if  $m=n$ , and after rearranging  
 $q_1 \dots q_n$  suitably,  $q_i$  is an associate  
of  $p_i$  for each  $i$ .

Example:

$$\begin{aligned} \text{In } \mathbb{Z}[i] \quad 5 &= (1+2i)(1-2i) \\ &= (2+i)(2-i). \end{aligned}$$

$1-2i$  and  $2+i$  are associates.

$$(2+i)i = 1-2i.$$

$i(-i) = -1$   $i$  is a unit  
in  $\mathbb{Z}[i]$ .

---

Lemma 1: In an integral domain  $R$ , any prime element is irreducible

Pf:  $p$  prime element, if  $p \mid ab$ ,  
then  $p \mid a$  or  $p \mid b$ .

$p$  irreducible if  $p = ab$  one of  $a, b$   
must be unit. (or one of  $a, b$  is  
an associate of  $p$ )



If  $p$  is prime and  $p = ab$ , then

$$p|a \quad \text{or} \quad p|b.$$

Assume  $a = p \cdot c$ .

$$\text{then } p = p \cdot c \cdot b \Rightarrow bc = 1.$$

Lemma 2: If  $R$  is PID, then every irreducible element is a prime element.

Pf: Assume  $p$  is irreducible, then there is no principal ideal

$$(p) \subsetneq (c) \subsetneq (1).$$

So  $(p)$  is maximal ideal.

$R/(p)$  is a field.

So  $p$  is prime.

Prop: i) Suppose factoring process terminates in  $R$ . Then  $R$  is UFD iff every irreducible element is a prime element.

(ii) PID is UFD.

i) Pf:  $\Leftarrow$   $a = p_1 p_2 \dots p_m$   
 $= q_1 q_2 \dots q_h$

$m \leq h$ , induction on  $n$ .

$n = 1$ , then  $a = p_1 = q_1$ .

$n \geq 2$ ,  $q_1$  irreducible  $\Rightarrow q_1$  prime  $\Rightarrow$

$q_1$  divides  $p_1 \dots p_m$ , then

$q_1$  divides  $p_j$ .

Assume  $q_1 \mid p_1$ , since  $p_1$  is irreducible.

$q_1$  is a unit or associates with  $p_1$ .  
Since  $q_1$  is irreducible,  $q_1$  is not  
a unit, so  $q_1, p_1$  are associates.

We can assume  $q_1 = p_1$  by multiplying  
a unit to  $p_1$ .

So  $q_2 q_3 \cdots q_n = p_2 \cdots p_m$ .

---

(iii) We only need to prove that  
factoring terminates.

Prop: ① and ② are equivalent.

① Factoring terminates

②  $R$  does not contain an infinite

strictly increasing chain

$(a_1) \subsetneq (a_2) \subsetneq \cdots \subsetneq \cdots$

$$\textcircled{1} \Rightarrow \textcircled{2}. \quad (a_1) \subsetneq (a_2)$$

$$\begin{aligned} \Rightarrow a_1 &= a_2 b_1 && b_1 \text{ not unit} \\ &= a_3 b_2 b_1 \\ &= \dots \end{aligned}$$

$$\textcircled{2} \Rightarrow \textcircled{1}.$$

$$\begin{aligned} a_1 &= a_2 b_1 \\ &= a_3 b_2 b_1 \\ &= \dots \end{aligned}$$

$$\text{then } (a_1) \subsetneq (a_2) \subsetneq \dots$$

---

For PID, if  $(a_1) \subseteq (a_2) \subseteq \dots$

Take the union  $\bigcup (a_i) = I$ .

$I$  is an ideal, and  $I = (a)$ .

So  $a \in \bigcup (a_i)$ . assume

$a \in (a_j)$ , then  $(a_j) = \bigcup (a_i)$

$$\text{So } (a_j) = (a_{j+1}) = \dots$$

---

Non UFD.

$$\mathbb{Z}[\sqrt{-5}]$$

$$6 = 2 \cdot 3 = (1 + \sqrt{-5})(1 - \sqrt{-5})$$

$2, 3, 1 + \sqrt{-5}, 1 - \sqrt{-5}$  are all  
irreducible.

Review:

Defs: Rings, <sup>units</sup> ideals, principal ideals.  
polynomial ring  $R[x]$ , quotient ring.

homomorphism, subring, kernel.

product ring, maximal ideals.

idempotent element. characteristic

integral domain, divisor, prime element, zero divisor

irreducible element, associates.

Euclidean ring  $\Rightarrow$  PID  $\Rightarrow$  UFD

Fractions.

Thms: ① substitution principle, extend  $\varphi: R \rightarrow R'$   
to  $R[x] \rightarrow R'$ .  
 $x \mapsto a$

$\nearrow$   
R-homomorphism.

② correspondence thm

Ex: Find ideals containing  $(y-x^2)$  in  
 $\mathbb{C}[x, y]$

$\varphi: \mathbb{C}[x, y] \rightarrow \mathbb{C}[t]$ .

$x \mapsto t$

$y \mapsto t^2$ .

$\ker \varphi = (y - x^2)$

Find maximal ideals in  $\mathbb{C}/\mathbb{C}[t]$ .

③ Adding relations.

$$R/(a, b) \cong R/(a) / (b) \\ \cong \mathbb{Z}/(b) / (a)$$

$$\mathbb{Z}[i]/(i+3)$$

④ Adjoining elements.

$$R[x]/(f(x)), \quad f(x) = x^n + a_{n-1}x^{n-1} + \dots + 1.$$

then  $R[x]/(f(x))$  has a basis

$$1, x, \dots, x^{n-1}$$

⑤ Division with remainder.

5.1 How to calculate in  $F[x]$



5.2. How to calculate in  
 $\mathbb{Z}[i]$

(6) Euclidean domain  $\Rightarrow$  PID  $\Rightarrow$  UFD  
 $\mathbb{Z}[i]$  is Euclidean domain

(7) Hilbert's Nullstellensatz.

(8) Maximal ideals in  $\mathbb{C}$ , and  
 $\mathbb{F}[x]$  ( $\mathbb{F}$  a field)

(9)  $\mathfrak{I}$  is maximal ideal iff  
 $R/\mathfrak{I}$  is a field.

(10) In PID, prime  $(=)$  irreducible.

Useful techniques.

① change of variable in  $\mathbb{C}(t)$ , or  
 $\mathbb{C}(x, y)$

② If  $R$  is an integral domain.

$$\text{In } R[x]. \quad \deg f(x) + \deg g(x) \\ = \deg (f(x) \cdot g(x))$$